Monitoring Socioeconomic Determinants for Healthcare Disparities

Tools From the Public Health Disparities Geocoding Project

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INTRODUCTION

Adding insult to injury. This well-worn phrase redounds with new significance when considering healthcare disparities in the context of social inequalities in health. The very same social groups at greatest risk of being subjected to inadequate access to and unequal treatment in healthcare also endure the greatest risk of poor health status and premature mortality, reflecting the daily toll of discrimination, economic deprivation, political marginalization, and prioritization of economic gain over human needs (1-3). Greater need and lesser care nefariously combine to create even more onerous burdens of preventable suffering, for it is within the very same bodies that these injuries and insults are integrated and embodied (4).

To right these health wrongs, and to hold accountable the institutions, policies, and priorities that routinely permit or actively benefit from the everyday health inequities so evident within and across countries, data are essential. Information is needed on the magnitude of the problem, on who is most burdened by poor health and healthcare, and whether the disparities are shrinking or expanding. Not that these data can by themselves change anything. Rather, in the hands of those working for health equity, evidence of disparities in health status and healthcare is required to identify who is most harmed, who gains, and what actions need to be taken, by which groups, to make a change for the better.

The connections between social determinants of health, health status inequities, and healthcare disparities would seem obvious. After all, our
bodies readily make the connections each and every day (4–6). Yet, in the
United States, we confront a major obstacle to counting for accountability:
the lack of routinely available good data on the magnitude of socioeconomic
inequities in health status and healthcare, overall and in relation to diverse
forms of social inequality variously involving racism, gender, sexuality, age,
nativity, and immigration status (7–10).
Although hospital records and public health data systems almost always
include data on age and sex (typically construed as biological variables only),
numerous reports have documented the poor quality and spottiness of data
on race/ethnicity, the paucity if not total absence of socioeconomic infor-
mation, and the invisibility of data on immigrant status and sexuality
(7–10). These gaps in the data are not accidental, even if they might not be
willful. Instead, they reflect the priorities and frameworks (conscious and
unconscious) of the groups who design and implement the data systems
(7,11–14). Often these data gaps can be explained by the time-dated ruse of “no
data, no problem;” however, also at play are the vulnerabilities of those
who may be targeted for discrimination if they provide information on
aspects of their subjugated social position (10,13–14).
In this chapter, our focus on the lack of socioeconomic data in most US
medical records and public health surveillance systems (7–10) in no way
discounts the importance of discrimination—whether in relation to race/ethnic-
ity, gender, sexuality, age, immigrant status, and so on, and within and across
socioeconomic strata—in shaping population health (4,15). However, in a
context of an all-too-long misguided legacy of interpreting health disparities
in these other dimensions as a function of allegedly innate biology, rather
than social inequity (1–4,11–17), it is essential to show the extent to which socio-
economic resources (themselves reflecting the impact of past and present
discrimination) are associated with health status and healthcare disparities within
and between these different social groups, as well as within the population as
a whole. Bringing socioeconomic position into the picture is thus one of sev-
eral critical steps needed to confront naïve causal narratives of “health differ-
ences” premised on biological or cultural determinism. The challenge is both
conceptual and empirical. It is in this spirit that the ideas and tools of the
Public Health Disparities Geocoding Project are presented (Table 1) (18–29).

**PUBLIC HEALTH DISPARITIES GEOCODING PROJECT:
PURPOSE, PERSPECTIVE, AND CONTEXT**

We undertook our project because of an important problem: the lack of
socioeconomic data in most US public health surveillance systems (7). This is
why 72% of the 74 tables on “Health status and determinants” in the major
federal report, *Health United States, 2005* include no socioeconomic data,

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### Table 1

**Synopsis of The Public Health Disparities Geocoding Project**

| **Background** | Despite longstanding evidence on intimate links between neighborhood poverty and neighborhood health, most US public health surveillance system lack socioeconomic data, thus precluding routine monitoring of socioeconomic disparities in health and their contribution to US urban health inequities |
| **Methods** | To address this problem, *The Public Health Disparities Geocoding Project* geocoded and linked public health surveillance data from Massachusetts and Rhode Island to 1990 census-derived ABSMs to determine which ABSMs, at which geographic level (census BG, CT, and ZC) could validly be used to monitor socioeconomic inequalities in health. Outcomes included: birth, childhood lead poisoning, sexually transmitted infections, tuberculosis, nonfatal weapons-related injuries, cancer incidence, and mortality |
| **Results** | In both the total population and diverse racial/ethnic-gender groups, measures of economic deprivation proved most sensitive to expected socioeconomic gradients in health, with CT ABSMs yielding the most consistent results and maximal geocoding across outcomes, and the CT poverty measure performing as well as more complex composite measures |
| **Implications** | Geocoding and use of the CT poverty measure permits routine monitoring and mapping of US socioeconomic inequalities in health, using a common and accessible metric |

**Source:** ABSMs, area-based socioeconomic measures; BG, block group; CT, census tract; ZC, zip code. See refs. 18–29.

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even as they are stratified by race/ethnicity and gender (30). It is also why 70%
of the 467 *Healthy People 2010* objectives lack socioeconomic targets (31). Clearly, without data on social class, one can neither monitor progress—or
setbacks—in eliminating socioeconomic inequalities in health, let alone
ascertain their contribution to racial/ethnic disparities in health.

Our study accordingly systematically investigated a possible solution,
drawing on an approach used eclectically in US health research for over 75 yr:
that of using geocoding and area-based socioeconomic measures (ABSMs)
(19,32,33). The basic method is to characterize both cases and the population
from which they arise by the socioeconomic characteristics of their residential
areas, using US census data. This in turn permits calculating rates stratified
by the ABSMs—which, because they are census-derived, can be used in any
region in the United States.
leading to socioeconomic health inequities by doing the kind of research that lies squarely within the domain of responsibilities of health researchers: developing a methodology to overcome the absence of socioeconomic data in most US public health surveillance systems (7). The express intent was to rectify a gap that removes class inequities in health from public view and hence from the policy and action agenda to eliminate health disparities.

**Historical Context of the Project**

However, before jumping in the methodological details it is important to put our *Project* in conceptual and historical context. The first point, perhaps obvious, is that awareness of what is now referred to as social inequalities in health, or health inequities, is not exactly new. In fact, it is a bit hard to miss the injurious effects of destitution, degradation, and hard work on health, and descriptions can be found in documents dating back to the earliest known medical texts. One, from around 2000 BCE in Egypt, during the time of the prosperous Middle Kingdom, recounted (37):

"...I have seen the metal worker at this task at the mouth of his furnace. His fingers were like the hide of crocodiles ... The barber shaves till late in the evening ... He strains his arms to fill his belly and works as indefatigably as a bee ... The weaver in the workshop is worse off than the women (who must always sit in the house) ... He bribes the doorman with bread that he may see the light ..."

The Hippocratic treatise, *On Diet*, likely written in the fourth century BCE, likewise observed that only a small minority of the Greek population—its vaunted citizens—had the wherewithal to lead a healthy life. The vast majority—upward of 75% of the population—could not, described as "the mass of people" who "are obliged to work," "who drink and eat what they happen to get," and so "who cannot, neglecting all, take care of their health" (38).

These kinds of comments, however, were comments were chiefly aside. What these early texts mainly provided was advice on how to live well, directed to those who could afford to live leisurely lives precisely because others did the hard labor allowing them this leisure. Indeed, it would not be for another 2000 years, in the 16th century CE, that the question of how work affects health would first attain sustained treatment in European medical texts (39,40). It was not until the early 19th century that studies systematically began to explore links between poverty and health and between slavery and health, and not until the later 19th century that research began seriously to investigate the impact of women's work—whether at home or in the paid labor force—on women's health (15,16,39–41). Interest in the 20th century in social inequalities in health, moreover, has waxed and waned (16,40,41), though of late it has been on the increase, such that in the
It was only by linking data on mortality and socioeconomic resources, derived from the newly amassed and unprecedented Parisian census that Villermé could make sense of Parisian mortality data. In doing so, he empirically demonstrated—for the first time anywhere—that variations in mortality rates across neighborhoods were neither a result of solely chance or the natural environment, but instead were profoundly patterned by poverty and wealth (13, 41, 43, 44). The net effect was to introduce a whole new realm—societal conditions—into the lexicon of determinants of health, as well as to make socioeconomic inequalities in health a visible topic of research, monitoring, and action. Regarding the cause of these health inequalities and relevant remedies: well, the debate was fierce, with some arguing moral sloth was the underlying cause of both poverty and poor health, and others pointing to destitution resulting from low wages and high rents.

Taking these arguments a step further, in 1844 Friedrich Engels (1820–1895) published the now classic text The Condition of the Working Class in England (45). To Engels and other radical investigators, the evidence at hand led them to argue that the poor health and immiseration of the working class and poor was routinely and inherently produced by the heightened imperative and capacity to accumulate capital, producing great wealth for the few and poverty for the many (2, 3, 39–41, 45). Fabulous fortunes and novel consumer goods were but the other side of a coin minted by an economic system whose deadly “dark satanic mills,” in Blake’s famous phrase (46), destroyed health through both horrendous working conditions and starvation wages.

Importantly attuned to how class matters for not only workplace conditions but also standard of living at the individual, household, and neighborhood level, Engels highlighted evidence of higher mortality among poor households in poor compared with improved streets (45). Notably, it has only been in the past decade that this type of contextual effect has again begun to receive serious attention in contemporary research (47–49).

The basic point, then, is that issues of social inequalities in health and use of area-based socioeconomic data are not new. Conceptual frameworks and the ability to generate empirical evidence to address these issues have existed for over 150 years.

PUBLIC HEALTH DISPARITIES GEOCODING PROJECT: STUDY DESIGN AND KEY RESULTS

Given this background, the methodological purpose of this empirical investigation was to determine which ABSMs, at which geographic level, would be most appropriate for public health monitoring (18–29), while at the same time availing ourselves of recent advances in computer technology...
and software, geographic information systems, statistical methods, and ease of accessing public health surveillance data and US census data. Our goal was to come up with valid, robust, easy to construct, and easy to interpret ABSMs that could readily be used by any US state health department or health researcher, for any health outcome—from birth to death, for women and men, young and old alike, among any racial/ethnic group. Guided by ecosocial theory, we anticipated that different ABSMs might function differently for diverse outcomes. Thus, our outcomes spanned the gamut and included: low birthweight, childhood lead poisoning, sexually transmitted infections, tuberculosis, nonfatal weapons-related injuries, cancer incidence (all-sites and site-specific), and mortality (all-cause and cause-specific).

The study design of the Public Health Disparities Geocoding Project is provided in Fig. 2. Briefly stated, each public health surveillance data set was first geocoded to three different geographic levels: the census block group (BG), the census tract (CT), and the ZIP code (ZC). Geocoding entails assigning a record, based on its geographic location (in this case, the residential address), the relevant geographic codes used for this location by the US census (for the census BG and CT) and also the US post office (for the ZC). To carry out the geocoding, we used a geocoding firm whose accuracy we verified to be high (96%) (25). Additionally, for each of the three chosen geographic levels, we created diverse ABSMs as described below. We then linked the health records and the ABSMs thereby allowing us to compute rates stratified by these ABSMs. Numerators consisted of the cases and denominators of the population (ascertained in the 1990 census) living in areas at the specified socioeconomic level. The methodological details of how we carried out each of these steps is provided, at no cost, at the Project’s website (18) (http://www.hsp.h.harvard.edu/thegeocodingproject), as are downloadable pdf files of our Project’s published scientific articles (18–27).

For the analyses, we computed, for each health outcome, rates stratified by the ABSMs at each level of geography and did so first for the total population of each state and then also stratified by race/ethnicity and gender. We then compared estimates of socioeconomic gradients for each outcome, within each level of ABSM, and also, for each ABSM, across levels. Before conducting these comparisons, we delineated four a priori criteria for evaluating the ABSMs (18–22):

1. **External validity:** did we detect the expected socioeconomic gradient, in magnitude and direction, based on what has been reported in the literature?
2. **Robustness:** did the ABSM perform well across diverse outcomes, among both women and men, overall and by race/ethnicity?
3. **Completeness:** was the ABSM affected by relatively little missing data?
4. **User-friendliness:** could the ABSM be easily understood by health department staff and the general public, as opposed to by only health professionals or other researchers?

Here we emphasize that our goal was to choose an ABSM that would be appropriate for routine monitoring of socioeconomic inequities in health across many health outcomes, which is distinct from the objective of choosing, for any given health outcome, the ABSM that might be most etiologically relevant.

### Study Base and ABSMs

Regarding the units of geography, Fig. 3 illustrates the relationship of the census-defined units (26,51). The basic census-building block is literally the census block, with an average population of approx 85 persons. It is nested within the next unit, the census BG, which on average contains 1000 persons and is the smallest census unit for which estimates of socioeconomic characteristics are released. Census BGs in turn are nested within CTs, which typically include 4000 people and are defined by the US census to be a "small, relatively permanent statistical subdivision of a county ... designed to be relatively homogeneous with respect to population characteristics, economic status, and living conditions" (51). Underscoring the real-life relevance of CTs for their residents, CT data are used to determine eligibility of areas for diverse programs, including "Urban Empowerment Zones," "Medically Underserved Areas," and "Qualified Census Tracts" for the low-income housing credit (19,52,53). ZCs are not shown because they are not defined by the US census; instead, they are virtual overlapping geographic areas designed to facilitate the delivery of mail and typically contain at least 10,000 people (24,54).
Table 2

<table>
<thead>
<tr>
<th></th>
<th>MA</th>
<th>RI</th>
</tr>
</thead>
<tbody>
<tr>
<td>1990 Population</td>
<td>6,016,425</td>
<td>1,003,464</td>
</tr>
<tr>
<td>Childhood lead screening (RI only: 1994–1995)</td>
<td>–</td>
<td>62,514</td>
</tr>
<tr>
<td>Nonfatal weapons-related injury (MA only: 1995–1997)</td>
<td>5517</td>
<td>–</td>
</tr>
</tbody>
</table>

MA, Massachusetts; RI, Rhode Island; STIs, Sexually Transmitted Infection; TB, Tuberculosis.

aAll-cause plus analyses of top five causes by race/ethnicity: heart disease, malignant neoplasm, cerebrovascular disease, pneumonia and influenza, chronic obstructive pulmonary disease, unintentional injury, diabetes, HIV, and homicide and legal intervention.

Table 2 presents data on the study population, defined in terms of people. In 1990, the population of Massachusetts was approx 6 million persons and that of Rhode Island, about 1 million. The number of records obtained from each surveillance system varied by outcome, with the total equaling nearly 1 million. Table 3 in turn provides data on the study base as defined by geographic units. As expected, BGs and CTs on average contained approx 1000 and 4500 people, respectively, and the ZCs, about 13,000–14,000 people. Population size was most variable at the ZC level and least at the BG level.

Next, to generate the ABSMs, we drew on our conceptual understanding of social class and socioeconomic position (SEP) (16,55). Stated simply, “social class” refers to social groups arising from interdependent economic relationships among people. One cannot, for example, be an employee if one does not have an employer and this distinction—between employee and employer—fundamentally concerns people’s relationship to work and to others through a society’s economic structure (55). One manifest expression of the social relationship of class is thus socioeconomic position, an aggregate concept that includes both resource-based assets, such as income, wealth, and educational credentials, and also prestige-based measures. Given distinctions between actual assets and prestige, we use the term “socioeconomic position,” and not “socioeconomic status,” because the latter arbitrarily (if not intentionally) privileges “status” over material resources as central to the construct and lived experience of class (55).
Table 3

<table>
<thead>
<tr>
<th>State</th>
<th>Geographic level</th>
<th>N</th>
<th>Mean population size</th>
<th>Standard deviation</th>
<th>Range</th>
</tr>
</thead>
<tbody>
<tr>
<td>MA</td>
<td>BG</td>
<td>5603</td>
<td>1085.40</td>
<td>665.20</td>
<td>5–10,096</td>
</tr>
<tr>
<td></td>
<td>CT</td>
<td>1331</td>
<td>4571.80</td>
<td>2080.00</td>
<td>15–15,411</td>
</tr>
<tr>
<td>ZC</td>
<td>424</td>
<td>12,719.70</td>
<td>12,244.10</td>
<td>14–65,001</td>
<td></td>
</tr>
<tr>
<td>BG</td>
<td>897</td>
<td>1,137.70</td>
<td>670.80</td>
<td>7–5,652</td>
<td></td>
</tr>
<tr>
<td>RI</td>
<td>CT</td>
<td>235</td>
<td>4,325.50</td>
<td>1,810.90</td>
<td>26–9,822</td>
</tr>
<tr>
<td>ZC</td>
<td>70</td>
<td>14,335.20</td>
<td>13,234.80</td>
<td>63–53,763</td>
<td></td>
</tr>
</tbody>
</table>

MA, Massachusetts; RI, Rhode Island; BG, block group; CT, census tract; ZC, ZIP code. See refs. 19–23.

From this vantage, we generated 19 census-derived ABSMs, 11 single variable and eight composite, intended to capture diverse domains of socioeconomic position relevant to health (18–23,26). Listed in Table 4, these included: occupational class, income and income inequality, poverty, wealth, education, crowding, and combinations of these variables, including pre-established indices, such as the Townsend deprivation index, widely used in the United Kingdom, and also the Centers for Disease Control and Prevention index of local economic resources.

Last, regarding geocoding, overall we were able to geocode 92% of the nearly 1 million records to the BG level, 98% to the CT level, and 98.2% to the ZC level. Importantly, we found little difference in the percent geocoded by outcome, age, gender, or race/ethnicity, or for the birth and death records, by educational level. However, nearly 6% of the records with ZC could not be linked to 1990 census, either because they were for nonresidential sites or else were in ZCs created or changed after the 1990 census. This ZC discrepancy resulted in analyses by ZCs introducing a selection bias for some outcomes sufficiently severe to reverse, and the direction of the socioeconomic gradient wrongly detected with the CT and census BG measure—with the latter importantly in the same direction as reported in the literature when using individual-level measures of socioeconomic position (24).

Key Methodological Results, Caveats, and Recommendations: for Routine Monitoring, Use the CT Poverty Measure

As discussed in the Project’s publications (18–29), our key findings (Fig. 4), based on analyses for each outcome by each state for the overall population and by racial/ethnic-gender group, were that:

1. Measures of economic deprivation were most sensitive to expected socioeconomic gradients in health.
2. CT level analyses yielded the most consistent results with maximal geocoding (compared with the BG and ZC data).
3. These findings held for separate analyses conducted for white, black, and Hispanic women and men, and also for those outcomes that could be meaningfully analyzed among the smaller Asian and Pacific Islander and American Indian populations.
4. The single-variable measure “percent of persons below poverty” performed as well as more complex, composite measures of economic deprivation, such as the Townsend index.

Based on these results, we propose that US socioeconomic inequalities in health can be monitored with the common metric of the CT poverty measure. We further note that one advantage of this approach is that, unlike individual-level education and occupation, this measure can be applied to all persons, regardless of age and gender, whether in or out of school, and in or out of the labor force (19,55–59). Additionally, CT data can provide important information on social context that may affect population health (19,47–49,55–59), even as we recognize that this etiological hypothesis can only be tested in data sets that contain both individual- and area-level socioeconomic data.
Despite these appealing features, four caveats pertain to use of CT data for monitoring socioeconomic health inequities. The first concerns "ecological fallacy," which occurs when the dependent and independent variables are group-level data and confounding is introduced through the grouping process (19–23, 58–63). The second is the "modifiable areal unit problem," (60,61) in this case referring to whether CTs are meaningful entities relevant to shaping population health or can provide relevant data on socioeconomic heterogeneity within neighborhoods (19,56,62,63). The third pertains to etiological period, because without the study the CT data were measured only at the time of the decennial census and in a time period corresponding to that of the health outcomes of interest (19–23). The fourth concerns omitted variables and selection bias, whereby both can yield biased estimates of exposure-outcome associations and jeopardize valid causal inference (60,64–67).

First, regarding "ecological fallacy," although this theoretically may be a problem, empirically research from the Public Health Disparities Geocoding Project suggests this potential fallacy is unlikely to introduce serious bias (19–23,28,29). Thus, for analyses for the two outcomes for which we had individual as well as CT socioeconomic data, i.e., birth and death records, the findings unambiguously demonstrated that the direction of the socioeconomic gradient was the same, and also was of similar magnitude, for both the individual-level and CT socioeconomic measures (28,29). The only exception occurred for mortality rates for deaths above 65, whereby using the CT socioeconomic measures we found a linear association (more economic deprivation associated with higher mortality) but using the individual-level education data, we found evidence of a nonlinear association, with rates highest among persons reported to have at least a high school diploma but less than 4 yr of college (29). This discrepancy was likely due to selective misclassification of the individual-level educational data (29), an inference supported by other mortality studies that have compared results based on verified educational data vs educational data from the death certificate (68,69). These earlier studies found the same "J-shaped" curve for mortality rates by educational level among other populations, which occurred because of the tendency of decedents with less than a high school education to be reported as having had at least a high school education (68,69).

Second, regarding the CT as an appropriate geographic unit, as noted previously, CT boundaries are drawn to be socially meaningful and to delimit administrative areas that are relevant to resource allocation (19,51, p. A-5). Thus, in addition to the various federal programs that use CT data to target resources for medical care, housing, and employment (52,53), cities use CT boundaries to define neighborhoods for public health department programs, school districts, and other municipal programs (19,50).

Third, for the purposes of monitoring, a measurement of socioeconomic position at the time the health outcome is diagnosed or reported is appropriate, insofar as the aim is to determine the population distribution of the burden of disease or death at the time that it occurs (19). While etiological research on the causal links between socioeconomic position and risk of poor health may want to use socioeconomic data from earlier time periods, at the individual as well as contextual level (6,55–59), along with data on other relevant covariates, such analyses address issues quite distinct from those of routine monitoring of socioeconomic inequalities in health. Additionally, concerns about being restricted to solely decennial census data will soon no longer be warranted, given the anticipated availability of yearly CT data (based on 5-yr rolling averages) from the American Community Survey, starting in 2010 (70).

The fourth and final set of caveats, regarding the separate problems of omitted variables and selection bias (60,64–67), again pertain more to etiological investigations than monitoring health inequities. In the case of omitted variables, the concern is that an unmeasured common cause of risk of living in a certain type of CT and of having a certain health outcome could produce biased estimates of the associations between CT characteristics and the health outcome, and hence the observed socioeconomic gradient. However, the same concern would hold for any socioeconomic measure, including at the individual level. Moreover, from a monitoring perspective, the observed social distribution of health is the empirical entity at
issue—and which constitutes precisely the sort of needed spark for causal investigation of why the observed social patterning of morbidity and mortality exists. Health selection, in turn, could induce associations if poor health during childhood led to: (1) a reduced earning potential, hence increased risk of living in an economically disadvantaged CT (or, if data were available at other levels, having a low individual or family income) and (2) the specified health outcome (55,58,59,71). The growing body of lifecourse research nevertheless indicates that cumulative disadvantage, not just early life or adult conditions, is a powerful determinant of many health outcomes (2–6,72–74). Thus, to the extent current CT characteristics can be viewed as a summary of the economic trajectories leading to its population composition, this lessens, but again does not absolve, health selection as being a previous common cause leading to an association between CT characteristics and risk of a given health outcome. In summary, although it is essential to be aware of the limitations for causal inference for analyses that use geocoding and ABSMs or other types of socioeconomic measures, these limitations in no way undercut the utility of the methodology that has been described for the routine monitoring of socioeconomic inequalities in population health. Estimating the magnitude of the problem is a necessary first step, a prelude to analyzing cause and generating remedies—and we emphasize that only routine monitoring can show whether the absolute rates of disease and health inequities are getting better or worse over time.

Key Substantive Results: Socioeconomic Inequalities in Health, Overall and by Race/Ethnicity and Gender

Figure 5 accordingly illustrates what US public health data could look like, from birth to death, using our approach, using one common metric for socioeconomic position: the CT poverty level (19–23). For each outcome, we show the socioeconomic gradient for the total population and also by racial/ethnic-gender group. The point is not that the socioeconomic gradients depicted are novel, per se, but rather that the method presented newly allows these health inequities to be routinely documented and monitored, using existing public health surveillance systems, to guide efforts to address socioeconomic inequalities in health, at the national, state, or local level. Outcomes presented are as follows:

1. **Childhood:** low birthweight and blood lead levels.
2. **Sexually transmitted infections:** gonorrhea, chlamydia, and syphilis.
3. **Other infectious disease:** tuberculosis.
4. **Weapons-related injury:** gunshot wounds.
5. **Cancer incidence:** lung cancer, colon cancer, breast cancer, cervical cancer, and prostate cancer.

In all cases, except for the childhood outcomes, rates are age-standardized to the 2000 US standard million (75).

The format of each figure provides information on the rate of the health outcome by each of the four designated socioeconomic strata, ranging from CTs with less than 5% of the population below the poverty level to CTs where 20% or more of the population lives below poverty (the federal definition of a “poverty area” (76)). The height of each bar indicates the age-standardized rate (or, in the case of low birthweight and childhood lead poisoning, the proportion); the actual numerical value of rate is provided at the top of the bar, along with a vertical line displaying the rate’s 95% confidence interval (CI). The width of each bar in turn is proportional to the size of the population living in each of the four socioeconomic strata (<5, 5–9.9, 10–19.9, and ≥20%, respectively, of the population below poverty). Consequently, for those populations living chiefly in the least poor CTs, for example, while non-Hispanics, the width of the bars is greatest for the least poor socioeconomic stratum and thinnest for the high poverty tracts. By contrast, among populations at high risk of living in a poverty area CT, for example, the black and Hispanic populations, the width of the bar is much greater for the high-compared with low poverty socioeconomic stratum. The graphic approach to displaying the data in Fig. 5 accordingly enables simultaneous presentation of information on the absolute rates, whereas visually facilitating comprehension of the relative and absolute difference across socioeconomic strata and also the population burden, in terms of which socioeconomic stratum has the worst rates and also generates the most cases (because a wide bar with a low rate may yield more cases, in absolute terms, than a thin bar with high rates) (19–23,77). Finally, wherever applicable, a dashed line has been included to show the Healthy People 2000 objective for those outcomes for which such an objective was specified (78).
Fig. 5. (Continued)
Fig. 5. (Continued)
Fig. 5. (Continued)
In the case of low birthweight, what stands out first, is that, among the total population there is a clear poverty gradient, with risk of low birthweight two times higher among births occurring in the most vs least impoverished tracts (i.e., 7.5 vs 3.6%). Second, the Healthy People 2000 goal for low birthweight births was met a decade ahead of schedule in all but the most impoverished areas, which lagged far behind. Third, the racial/ethnic-gender analyses further showed that whereas this pattern held for the white non-Hispanic population, among the black population, an early attainment of the Healthy People 2000 low birthweight objective was not met within any of the socioeconomic strata.

Results are similar for childhood lead poisoning. Within the total population, there was a sevenfold excess among those living in the most vs least...
impoverished CTs, i.e., 33 vs 5%; no Healthy People 2000 objective is shown because none was set. Additionally, the highest proportions of children with lead poisoning were observed among the black girls and boys living in the poorest CTs. Moreover, in every socioeconomic stratum, a higher proportion of black and Hispanic children compared with white children had lead poisoning (except among Hispanics in the poorest CTs, whose rates were similar to those of non-Hispanic whites in the poorest CTs).

Socioeconomic gradients were likewise evident for each of the sexually transmitted infections and also tuberculosis, with persons living in the least poor CTs the most likely, overall and in each racial/ethnic group, to have the lowest rate of registered cases of the disease, whereas persons in the poorer tracts had higher rates. For example, in the case of syphilis among the total population, the relative risk, comparing persons living the poorest compared with least poor CTs was 17-fold (38.4 vs 2.3 per 100,000). Within the total population, moreover, only rates in the least impoverished tracts met the Healthy People 2000 objectives a decade in advance. This latter finding again chiefly reflected the low rates among the white population, because among both the black and Hispanic populations, rates in none of the socioeconomic strata dipped below the Healthy People 2000 objective.

Regarding nonfatal gun shot injury, within the total population, the relative risk, comparing persons in the most to least poor CTs was 11-fold, or about
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Heart Disease Mortality

Cancer Mortality

Fig. 5. (Continued)
Fig. 5. (Continued)
Monitoring Socioeconomic Determinants for Healthcare Disparities

22 vs 2 per 100,000. Of note, this pattern was chiefly driven by the pronounced socioeconomic gradient among the black and Hispanic men, whereby rates were, respectively, 22 and 10.5 per 100,000 among those in the least poor CTs, but 105 and 63 among those in the poorest CTs.

In the case of cancer incidence, we observed the expected socioeconomic gradients. Thus, incidence rates increased with poverty level of the CT for lung cancer and cervical cancer, decreased with decreased poverty level for breast cancer, and did not display a clearcut socioeconomic gradient for colon cancer. For example, for cervical cancer, within the total population, women living in the poorest CTs were at twofold the risk compared with women in the least poor CTs, i.e., rates of 18 vs 9 per 100,000, and this pattern held for both the white and black women (the only groups large enough for meaningful analyses of cervical cancer incidence rates).

Finally, for mortality, clear socioeconomic gradients within the total population, with risk highest for persons in the poorest CTs, were evident for all of the outcomes, especially premature mortality, heart disease, diabetes, HIV/AIDS, and homicide, and to a lesser extent, cancer mortality (reflecting in part the different directions of the socioeconomic gradient for such disease as lung cancer vs breast cancer). For the leading cause of death, heart disease mortality, the excess risk, comparing persons in the most to least poor CTs, was 1.4-fold, resulting in an absolute excess of nearly 100 deaths per 100,000; persons in the least poor CTs were also the furthest along in meeting the Healthy People 2000 objective. Moreover, the socioeconomic patterning of mortality was consistent across all racial/ethnic gender groups, with the exception of heart disease mortality among black women (for whom there was no clear socioeconomic gradient).

In other words, for none of these outcomes are there trivial socioeconomic gradients. Yet, in current US public health reports, these gradients are routinely ignored and unreported.

Further underscoring the magnitude of these disparities, Fig. 6 shows the population attributable fraction (PAF) in relation to CT poverty. The key message is that for half of the outcomes, over half of all cases would have been prevented if the age-specific rates among persons living in the most impoverished CTs were the same as those of persons living in the least impoverished CTs (19). To our knowledge, our Project’s analyses are the first to calculate state-level PAFs in relation to poverty.

Tables 5 and 6 in turn hint at what these types of analyses could reveal about socioeconomic gradients within racial/ethnic gender groups and the contribution of socioeconomic inequality to racial/ethnic health disparities. Using the example of premature mortality, an important indicator of social disparities in both health status and access to health services, Table 5 shows
Table 5
Public Health Disparities Geocoding Project: Premature Mortality Rates (death before 65 yr of age), by Race/Ethnicity, Gender, and CT Poverty Level, Massachusetts, 1989–1991 (19)

<table>
<thead>
<tr>
<th>Persons below poverty (CT [%])</th>
<th>N</th>
<th>Percentage</th>
<th>Rate&lt;sup&gt;a&lt;/sup&gt;</th>
<th>IRR (95% CI)</th>
<th>RII (95% CI)</th>
<th>N</th>
<th>Percentage</th>
<th>Rate&lt;sup&gt;a&lt;/sup&gt;</th>
<th>IRR (95% CI)</th>
<th>RII (95% CI)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Black men</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>&lt;5</td>
<td>42,777</td>
<td>11</td>
<td>280.9 (1)</td>
<td>2.7</td>
<td>34,530</td>
<td>8</td>
<td>151        (1)</td>
<td>1.9</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5–9.9</td>
<td>69,978</td>
<td>17</td>
<td>342.2 (0.7, 2.1)</td>
<td>2.2, 3.2</td>
<td>696,663</td>
<td>16</td>
<td>238.8</td>
<td>1.6 (0.8, 3.2)</td>
<td>(1.3, 2.0)</td>
<td></td>
</tr>
<tr>
<td>10–19.9</td>
<td>96,048</td>
<td>24</td>
<td>478.2 (1.0, 2.8)</td>
<td></td>
<td>101,934</td>
<td>24</td>
<td>260.9</td>
<td>1.7 (0.9, 3.3)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>≥20</td>
<td>197,895</td>
<td>49</td>
<td>610.6 (1.4, 3.4)</td>
<td></td>
<td>220,539</td>
<td>52</td>
<td>285.4</td>
<td>1.9 (1.0, 3.5)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Hispanic men</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>&lt;5</td>
<td>41,931</td>
<td>11</td>
<td>134.6 (1)</td>
<td>2.8</td>
<td>37,938</td>
<td>9</td>
<td>48.8       (1)</td>
<td>2.4</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5–9.9</td>
<td>64,854</td>
<td>16</td>
<td>181.2 (0.6, 3.0)</td>
<td>2.2, 3.6</td>
<td>64,392</td>
<td>16</td>
<td>100.8</td>
<td>2.1 (0.6, 7.2)</td>
<td>(1.7, 3.4)</td>
<td></td>
</tr>
<tr>
<td>10–19.9</td>
<td>81,999</td>
<td>21</td>
<td>281.9 (1.0, 4.4)</td>
<td></td>
<td>84,606</td>
<td>21</td>
<td>109.4</td>
<td>2.2 (0.7, 7.6)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>≥20</td>
<td>205,635</td>
<td>52</td>
<td>332.4 (1.2, 4.9)</td>
<td></td>
<td>221,898</td>
<td>54</td>
<td>138.9</td>
<td>2.8 (0.9, 8.0)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
two key findings. First, whereas almost half the white women and men lived in CTs with less than 5% of persons below poverty, half of the black and Hispanic population lived in CTs with 20% or more below poverty. Second, within each racial/ethnic gender group, there were marked socioeconomic disparities in premature mortality, with relative risks ranging from 1.6–2.8.

Additionally, as shown in Table 6, within each economic stratum, a black excess for premature mortality remained apparent. Even so, adjusting solely for the single relatively crude measure of CT poverty reduced the overall age-adjusted twofold black excess risk of premature mortality down to “only” a 40–50% excess. Whereas from an etiological perspective, it would be critical to use more detailed measures of lifetime socioeconomic position to quantify its contribution to observed racial/ethnic disparities in health (53,58–59), from the standpoint of monitoring, use of appropriate ABSMs can do much to reveal both socioeconomic gradients in health status within diverse racial/ethnic groups, as well as clarify that racial/ethnic inequalities in socioeconomic position continue to play an important role in generating racial/ethnic health disparities. For this reason, the approach utilized in our Project has been used to frame data analysis and interpretation of both national and state publications on socioeconomic inequalities in health (79–80).

IMPLICATIONS OF THE PROJECT FOR MONITORING AND ANALYZED HEALTHCARE DISPARITIES

Turning finally to healthcare disparities, we note that the methods of the Public Health Disparities Geocoding Project can be used with any health database, and not just with public health surveillance records. Indeed, within the health services literature, diverse examples can be found whereby investigators have linked medical record or hospital discharge data to a variety of ABSMs, at diverse geographic levels, to examine healthcare disparities (81,82). Types of questions typically asked have included:

1. Who is at greatest risk of having inadequate access to needed healthcare, including screening, preventive care, and treatment? (82–88).
2. What is the magnitude of socioeconomic disparities in health status, health behaviors, and treatment outcomes among patients enrolled in a particular health plan? (89–93).
3. Should data on the socioeconomic composition of health plan members be included as an adjustment factor for comparing health system performance? (94).
4. Are there socioeconomic inequities in performance of procedures, referrals, and other outcomes indicative of healthcare system performance (independent of patient health status)? (83,92,95).

Literature on these topics nevertheless remains scant, with ABSMs only just starting to be used by health service researchers to address the lack of socioeconomic data in most medical records (81). The net implication is that there is ample opportunity for more healthcare systems, researchers, and advocates to use tools like those provided by the Public Health Disparities Geocoding Project to document, investigate, and address healthcare disparities.

For this approach to be best used in healthcare disparities research, however, it will be important to address an important problem: apparently, the most commonly used area-based socioeconomic data for documenting healthcare disparities are ZC-level socioeconomic data (81,88,91,94,95). In part, this practice appears to have arisen because the ZC is the only address or geographic information provided in hospital discharge records or readily obtainable from health records without the added step and expense of geocoding the address data (12). Yet, as noted above, use of ZC data should be discouraged on multiple grounds, including the large size and economic heterogeneity of their population and also because of the possibility of introducing serious bias owing to spatiotemporal mismatches between census and ZC data (to the point of reversing the direction of the actual socioeconomic gradient (24)). Compounding these problems, starting with the 2000 decennial census, ZC data are no longer available as a geographic unit for which US census socioeconomic data are available (24,54). The US census made the decision to no longer provide these data because, in their words, “carrier routes for one ZC may intertwine with those of one or more ZCs” such that “this area is more conceptual than geographic” (96, p. 22). To “overcome the difficulties in precisely defining the land area covered by each ZC” (54), starting with the 2000 Census, the US Census Bureau accordingly created a new statistical
New interest in improving hospital records, to improve understanding of healthcare disparities (1,12,99), however, could readily lead to improved geocoding of medical records. For example, in the city of Boston, The Mayor's Task Force on Health Disparities, in conjunction with the Boston Public Health Commission, in 2005, launched an initiative to improve and standardize collection of racial/ethnic and socioeconomic data in medical records, which has secured the participation of all of the largest Boston hospitals (99). In addition to specifying that educational level should be routinely collected, the Boston Public Health Commission recognizes the utility of having address information routinely geocoded to the CT level. Of note, the US Census Bureau now provides a free online tool to identify any address geocodes, including those for its CT, one single address at a time (100). Use of this tool could readily be coupled with the input of patients' addresses at time of enrollment in a health plan or when billing occurs.

CONCLUSION: DATA, POLITICS, AND HEALTH INEQUITIES

In conclusion, data on disparities in health status and healthcare are essential, both to understand the magnitude of the problems that are confronted and to increase accountability for—and hence the likelihood of—eliminating these preventable sources of suffering. The continued absence of socioeconomic data in US public health and medical records is a serious problem, one neither innocent nor inevitable. Fortunately, the tools provided by the Public Health Disparities Geocoding Project offer one potential solution to overcoming this obstacle. It is the responsibility, as public health and medical professionals, to end the pernicious cycle of “no data, no problem” (13,14). Using available methods and concept, we instead can bring to public attention the existence of socioeconomic disparities in health status and healthcare, within and across diverse racial/ethnic groups as well as show how they contribute to persistent and unacceptable racial/ethnic disparities in health, in conjunction with racial discrimination and other forms of social inequality manifested in each and every economic strata (4,101). The goal is to generate knowledge that, if put into action, can inform current efforts to promote social justice and equity in health status and healthcare.

ACKNOWLEDGMENTS

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REFERENCES


By ten things is the world created,
By wisdom and by understanding,
And by reason and by strength,
By rebuke and by might,
By righteousness and by judgment,
By loving kindness and by compassion.

—Talmud Higaga 12A

Eliminating Healthcare Disparities in America
Beyond the IOM Report

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