Facilitating Research at Harvard: An Overview

Carlos Herrera, Associate Director ATS Research Administration and Compliance Systems
Vision

Develop and integrate innovative IT solutions for researchers and administrators to meet current and future regulatory changes while reducing administrative burden. These solutions support our goal to consistently facilitate the University’s research mission from the financial, compliance, and administrative perspectives.

Responsibilities of the Research Administration Team

- Managing the Effort Reporting System (ecrt)
- Electronic Submission Tracking and Reporting (ESTR - IRB)
- Data User Agreements (DUA)
- Research Data Safety
- Outside Activities and Interest Reporting (OAIR)

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<th>Maximize responsiveness to regulation changes</th>
<th>Streamline processes</th>
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<td>Increase transparency</td>
<td>Address gaps and duplications</td>
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<td>Improve data integrity</td>
<td>Reduce administrative burden</td>
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Research Compliance Suite

**Data Safety**
Harvard's Data Safety system supports the submission, review and management of data use across the University.

**ESTR-IRB**
Electronic Submission Tracking and Reporting (ESTR) is a system designed for University-wide use by the Harvard Institutional Review Boards (IRBs).

**Agreements**
Harvard's Data Use Agreement (DUA) system supports the submission, review and management of DUAs across the University.

Huron Research Suite offers a software application to support the grant lifecycle and ensure regulatory compliance with federal and university requirements.
Harvard Research Administration and Compliance Suite
Summary of HRDSP Applications with Order of Reviews

Selecting the starting system
(also see Application Use Overview)

1. Data Safety
   Create a submission here when:
   • The sponsored award review process requires it (there are special requirements or Sensitive data exchange with subawardees);
   • The project involves the management of DSL 3, 4, 5 or Sensitive data (because it is known, or the IRB determines it); or
   • A DUA is needed

2. ESTR/IRB
   Create a submission here when:
   • A project meets the definition of Human Subjects Research; or
   • The funder requires documentation of IRB review

3. Agreements/DUA
   Create a submission here when:
   • there will be a data exchange with a third party and -
     • There are restrictions on the use, handling, security, etc.; or
     • The data is Sensitive; or
     • The data is DSL 3, 4, or 5

https://research.harvard.edu/research-policies-compliance/research-data-management/
https://hcsra.sph.harvard.edu/policies-guidance
Governance: Administered by the Research Administration Systems team in cooperation with the business users and steering committees across all campuses.
Technology Enablement

Partnership with Business Units: Enhancing grants management via technology solutions

Our Services: Spanning project delivery, product management, and comprehensive user support.
Questions:

Office hours

- Tuesdays: 10:00am ET - 11:00am ET
- Thursdays: 3:00 pm ET - 4:00 pm ET

1. Visit the Bookings page (linked here)
2. Choose a time. Available times (not already booked) will be displayed in 30-minute increments.
3. Share your name and email
4. Click "Book" at the bottom of the page.

After completing the steps through Bookings, you will receive a confirmation email/calendar invitation reserving the time.
If you have any questions or concerns, please contact us at estrhelp@harvard.edu
Thank you!